



# Multi-Round Transfer Learning for Low-Resource NMT Using Multiple High-Resource Languages

Mieradilijiang Maimaiti<sup>1</sup>, Yang Liu<sup>1</sup>, Huanbo Luan<sup>1</sup>, Maosong Sun<sup>1</sup>
<sup>1</sup>Department of Computer Science and Technology, Tsinghua University, Beijing, China

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# **Outline**

- Machine Translation
- Demands for Machine/Human Translation
- Related Work and Current State for LRLs NMT
- Motivation
- Methodology
- Experiments
- Conclusions



# Cross Lingual Environment





## Machine Translation (MT)

•Machine Translation: let the computer translate the human language, as well as it is a technique that uses a computer to automatically convert one natural language (Source language) to another natural language (target language).

### I love Tsinghua!









## Typical MT Systems







































## Current State of MT Community































## Bilingual Information

他 喜欢 北京。

He likes Beijing.

他在东京居住。

He lives in Tokyo.

日本 的 首都 是 东京。

The capital of Japan is Tokyo.

北京是中国的首都。

Beijing is the capital of China.

他来自日本。

He is from Japan.

日本 临近 中国。

Japan is near China.

中国是亚洲国家。

China is an Asian Country.

北京 位于 中国 的 北方。

Beijing is located in the North of China.

•••

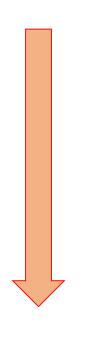


### Mapping function from source to target language

Chinese:

我 在 清华 大学 做了

报告



English:

Mapping function  $f(S \rightarrow T)$ 

I gave a talk in Tsinghua University



**X** 布什

与

沙龙

举行

了

会谈

 $\sqrt{ }$ 

$$P(\mathbf{y}|\mathbf{x}; \boldsymbol{\theta}) = \sum_{\mathbf{z}} \frac{\exp(\boldsymbol{\theta} \cdot \boldsymbol{\phi}(\mathbf{x}, \mathbf{y}, \mathbf{z}))}{\sum_{\mathbf{y}'} \sum_{\mathbf{z}'} \exp(\boldsymbol{\theta} \cdot \boldsymbol{\phi}(\mathbf{x}, \mathbf{y}', \mathbf{z}'))}$$



 $\mathbf{y}$ 

Bush

held

а

talk

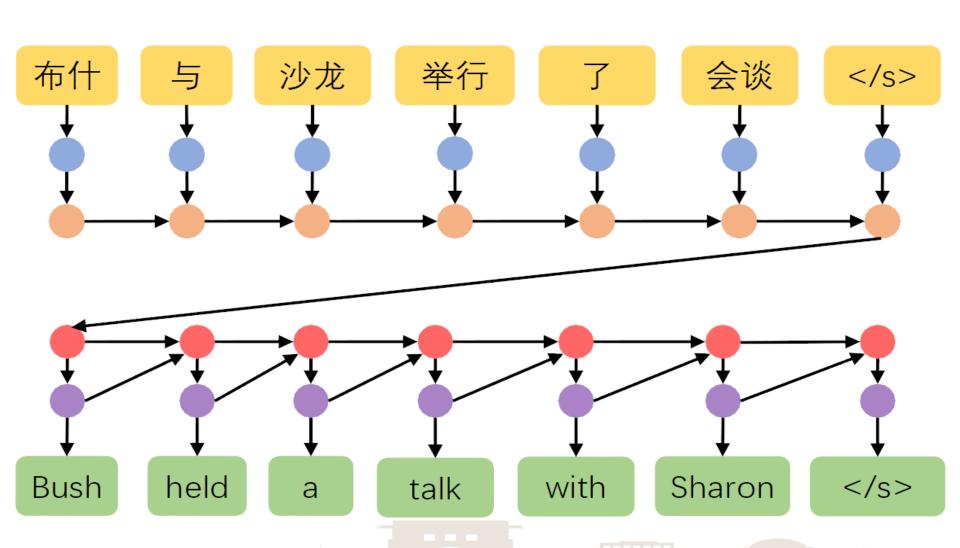
with

Sharon

(Och and Ney., 2002)



### NMT — Encoder Decoder



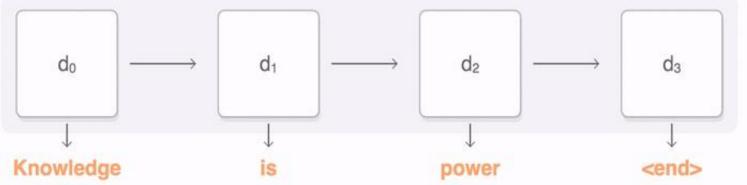
(Sutskever et al., 2014)



### NMT — Attention

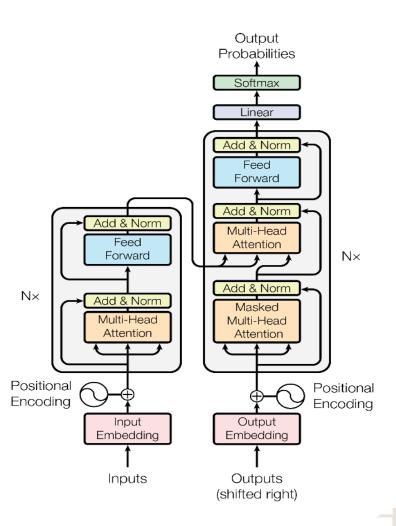






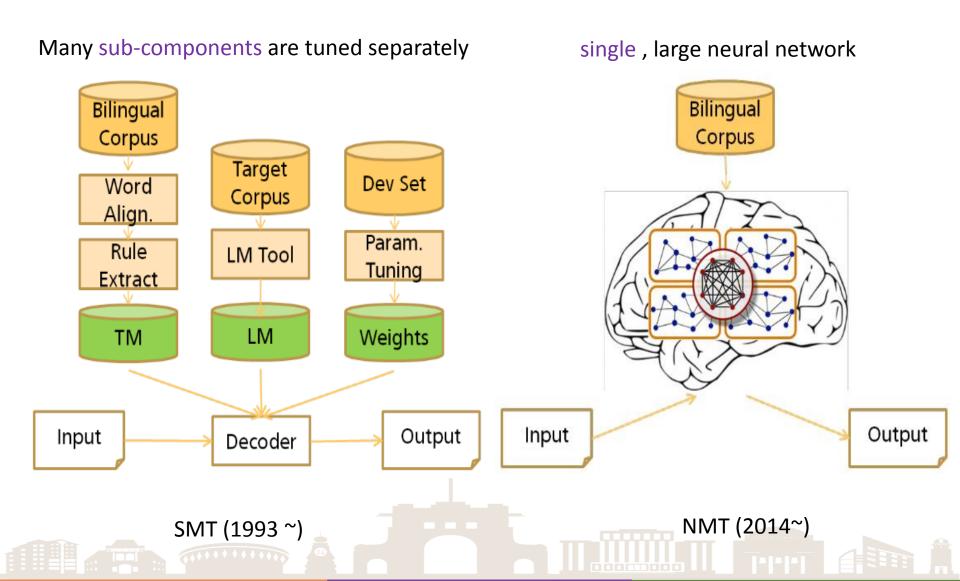


### NMT — Transformer



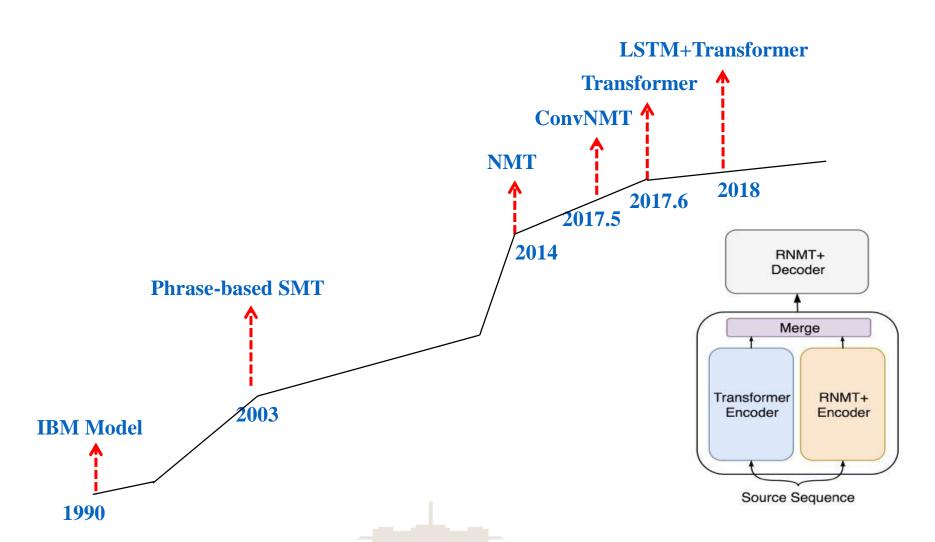
(Vaswani, et al. 2017)







# History of MT



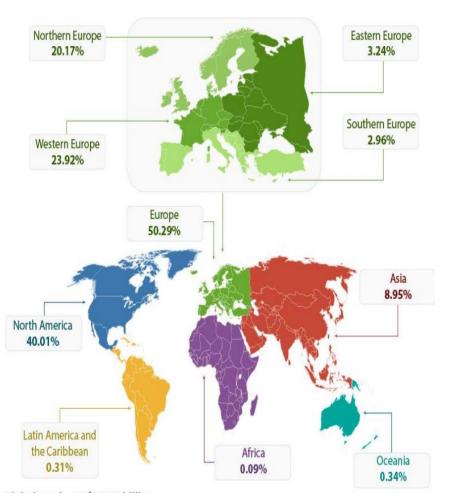
(Jiajun Zhang, CCL2018)

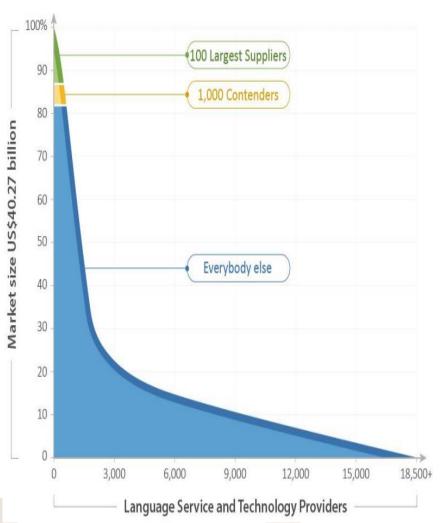
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### Demands for Machine/Human Translation





Global total = US\$40.27 billion

Percentages may not add up to 100 due to rounding.

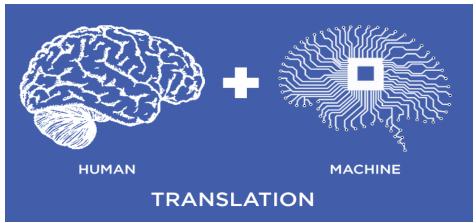
(Guoping Huang, Qcon2018)



### Demands for Machine/Human Translation

- Human translation fields
   ≈ 666 million words / day
   [Pym et al., 2012]
- Machine translation fields
   >> 100 billion words / day
   [Turovsky, 2016]

Demand for translation for outpaces what is humanly possible to produce.





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## Significance of Low Resource MT Research

#### Academic value

 Machine translation is a data-driven task, and it relies heavily on parallel corpora. Therefore, the performance is really good on high resource language pairs, but inferior accuracy on lowresource language pairs.

### Application value

• In the "One Belt One Road" work, there is an urgent need for policy communication, smooth trade, financial access, facility connectivity, shared citizenship and cultural exchanges between 65 countries along the route, especially in Central/East/Western Asia.



### Significance of Low Resource MT Research

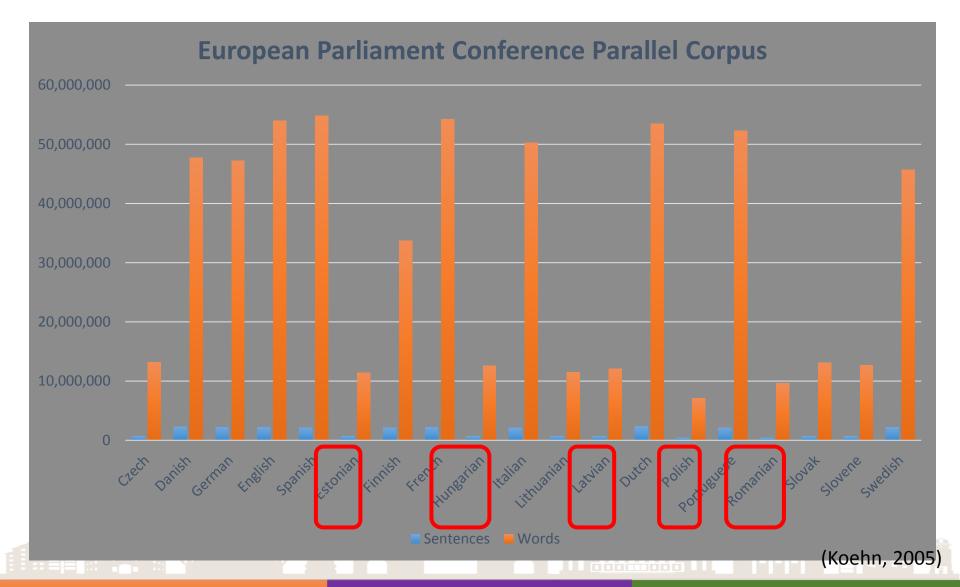
- Hot topics concerned by academia and industry
- The national languages of many countries are almost morphologically rich low-resource languages.



From: http://www.mrcjcn.com/n/224527.html



## Significance of Low Resource MT Research





### Related Work and Current State

- High-resource Languages
- Domain Adaptation
- Morphology Analyzer
- Data Augmentation
- Transfer Learning
- Zero-Shot Learning

•



### High-resource Languages

Attention Based Multilingual Encoder
 (Marton et al., 2009; Nakov, et al., 2012; Dong et al., 2015;
 Zoph and Knight et al., 2016; Thanh-Le et al., 2016; Schwenk et al., 2017; Johnson et al., 2016; Get al., 2018)

#### Transfer Learning

```
(Wang, et al., 2012; Zoph et al., 2016; Zoph et al., 2017b; Nguyen et al., 2017; Chu et al., 2017; Passban et al., 2017; Dabre et al., 2017; Wang al., 2018)
```



### **Domain Adaptation**

#### Data Selection

```
(Foster et al., 2007; Zhao et al., 2007; Lü et al., 2007; Moore et al., 2010 Axelrod et al., 2011; Lewis et al., 2011; Duh et al., 2013; Chen et al., 2016; Ruder et al., 2017)
```

Context Information

```
(Tiedemann et al., 2010; Gong et al., 2011; Carpuat1 et al., 2013 )
```

#### Topic Information

```
(Tam et al., 2007; Xiao et al., 2012; Su et al., 2012; Eidelman et al., 2012; Hewavitharana, et al., 2013; Zhang et al., 2014; Zhang et al., 2016)
```



## Morphology Analyzer

• Rule Based

```
(Maddox et al., 2003; Daybelge et al., 2007; Lignos et al., 2009; Hatem, et al., 2011; Kessikbayeva et al., 2015)
```

Traditional Statistical Method

```
(Kudo et al., 2004; Creutz et al., 2006; Virpioja et al., 2013; Stig-Arne et al., 2014; Kohonen et al., 2010; Ruokolainen et al., 2014; Sennrich et al., 2016a)
```

#### Neural Network Method

(Stuskever *et al.*, 2014; Bahdanau *et al.*, 2015; Wu *et al.*, 2016; Vaswani *et al.*, 2017; Belinkov *et al.*, 2017; Vania *et al.*, 2017; Rajana *et al.*, 2017)



## **Data Augmentation**

Monolingual Based

```
(Koehn et al., 2002; Quirk et al., 2004; Ueffing, et al., 2006; Marta, et al., 2006; Wubben et al., 2012; Gulchere et al., 2015; Sennrich et al., 2016b; Cheng et al., 2016b; Zhang et al., 2018)
```

Word Level Replacement

```
(Francis et al., 2009; Fadaee et al., 2017; Huang et al., 2016; Sennrich et al., 2016c; Ribeiro et al., 2018; Wang et al., 2018)
```



## Challenges for LRLs MT

### Challenges

- Unable to make efficient use of high resource language issue
- Specific domain adaptive problem
- Unable to analyze morphological problems efficiently
- Syntactic and Semantic error problem after data augmentation



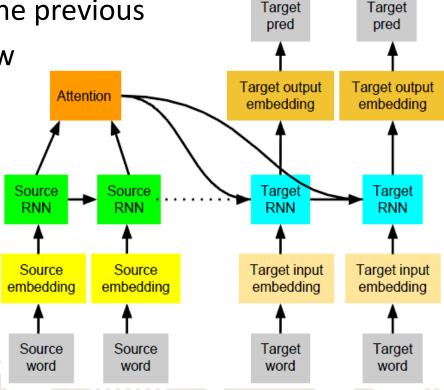
## Challenge1-Using High-resource Languages

• (Zoph et al., 2016) exploited the transfer learning on high-resource languages to help LRLs.

• (Passban *et al.*, 2017 ) based on the previous Idea, by using of one HRLs from tow Different domains.

#### Issue:

- Both of them unable to use efficiently multiple HRLs.
- Ignored character level similarity.





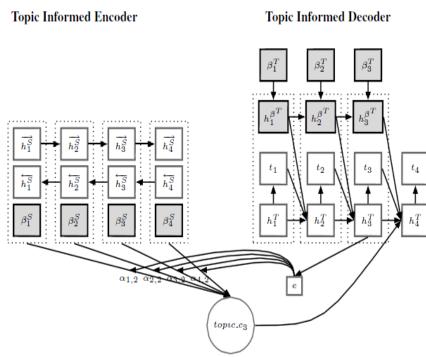
## Challenge2- Specific Domain Adaptive issue

• (Zhang et al., 2016) we can regard their idea was fully-supervised method, first learn the topic

Information by using LDA, then feed them into NMT.

#### Issue:

- Unable to jointly train the topic
   Information and NMT.
- Dependently train the topic model and time consuming.
- Hard to set the topic number, heuristically set the topic number both on encoder and decoder of NMT.





### Challenge 3- Inferior Accuracy of Morphological Analyzer

 (Virpioja et al., 2013) exploit the semi-supervised method to segment, and proposed language independent lexicon analyzer Morfessor2.0

• (Sennrich *et al.*, 2016a ) used the greedy algorithm to compute the state of each characters to

be connected with others, and proposed the BPE.

Issue :

- Unable to coverage the language knowledge
- Still exist over /imperfect/non segmentation

```
bitaKEtsizlik
bitaKEtsiz/STM lik/SUF
bitaKEt/STM siz/SUF
bi/PRE taKEt/STM

"bitaKetsizlik" (不耐心的)
```

```
\begin{array}{ccc} r \cdot & \rightarrow & r \cdot \\ 1 \text{ o} & \rightarrow & \text{lo} \\ \text{lo w} & \rightarrow & \text{low} \\ \text{e } r \cdot & \rightarrow & \text{er} \cdot \end{array}
```

{'low', 'lowest', 'newer', 'wider'}



### Challenge4-Syntactic and Semantic Errors

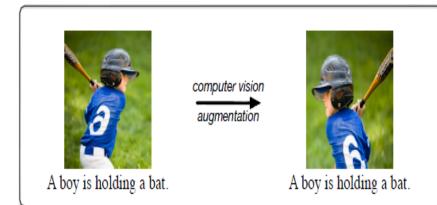
- (Fadaee et al., 2017) exploited the very common augmentation method used in CV, namely data augmentation.
- (Cheng et al., 2016b) expand the corpus size via back translation on monolingual data.

Semantics: John waters the [Plant/Bike]

Syntax: I have three [bags/pencil]

#### Issue:

- Relied on existed translation model.
- Unable to address the syntactic and semantic errors efficiently after data augmentation.



A boy is holding a bat. translation A boy is holding a backpack.

Ein Junge hält einen Schläger. augmentation Ein Junge hält einen Rucksack.

 $\begin{array}{lll} \text{original pair} & \text{augmented pair} \\ S: s_1, ..., s_i, ..., s_n & S': s_1, ..., s_i', ..., s_n \\ T: t_1, ..., t_j, ..., t_m & T': t_1, ..., t_j', ..., t_m \end{array}$ 

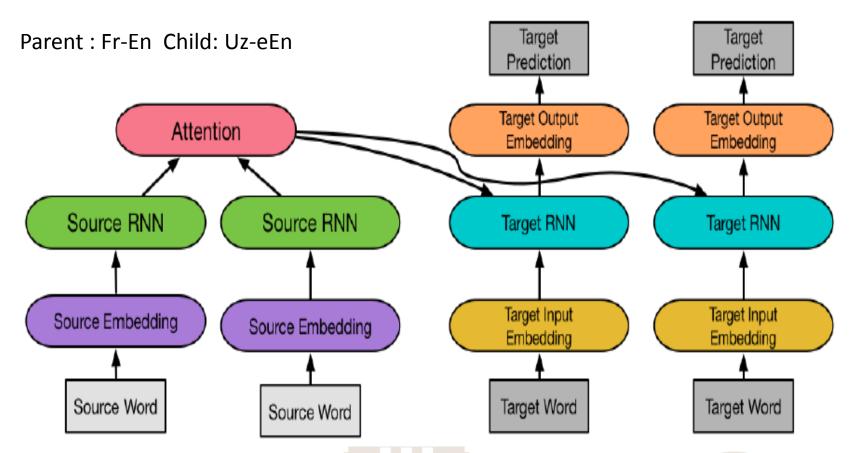
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## Motivation — Transfer Learning

Optimal setting for transferring from **parent** model to **child** model.

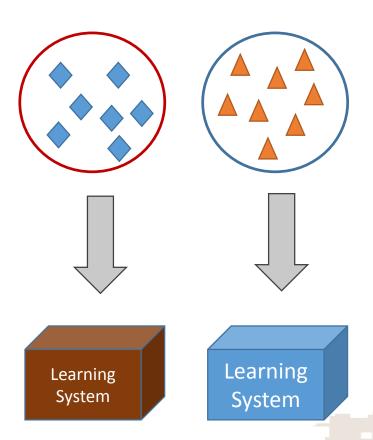


[Barret Zoph et al., 2016]

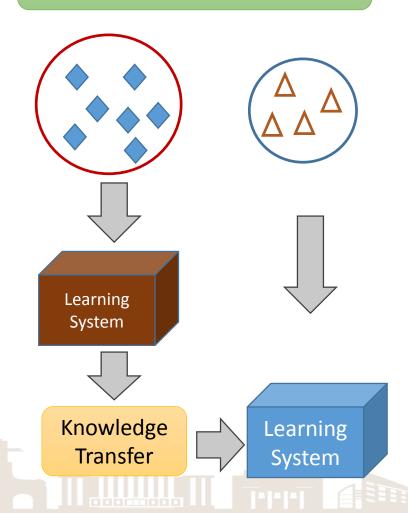


## Transfer Learning && Machine Learning

Traditional Machine Learning



**Transfer Learning** 





### Transfer Learning — domain adaptation

**Domain Adaptation** (DA) is a field associated with <u>machine</u> <u>learning</u> and <u>transfer learning</u>.



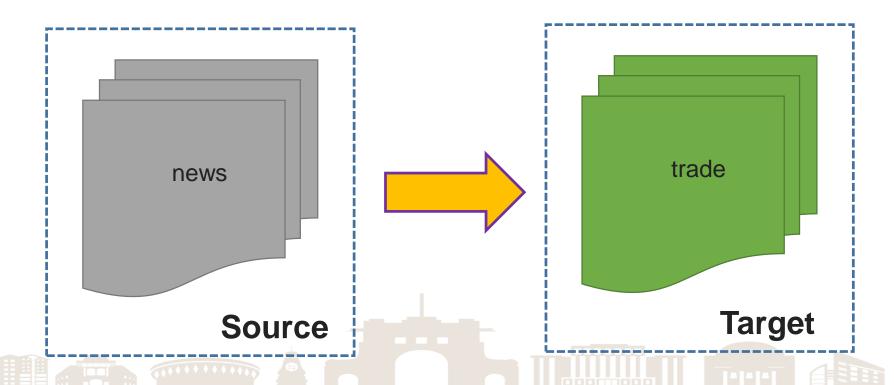
DA is one of the branches of transfer learning.

DA build a system on **one kind of data** and **adjust** it to apply to another.



## Transfer Learning — domain adaptation

This scenario arises when we aim at learning from a source data distribution a well performing model on a different (but related) target data distribution.





## Transfer Learning — domain adaptation

In Natural Language Processing (NLP), train a system on some language data, retune && apply it to specific different task.

Build speech recognition system using recorded phone calls, then tune it to use as an airline reservation hotline.





ER





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## Methodology - NMT

- $\bullet X, Y$ ; Raw source and target sentences.
- •Given source sentences  $X = x_1, \dots, x_i, \dots, x_I$  and target sentence  $Y = y_1, \dots, y_i, \dots, y_I$
- Standard NMT models usually factorize the sentence-level translation probability as a product of word-level probabilities:
- $\bullet P(y|x;\theta) = \prod_{j=1}^{J} P(y_i|x, y_{< j}; \theta)$
- $ullet \theta$  is model parameters,  $y_{< j}$  is partial translation.



## Methodology - NMT

- •NMT models usually rely on an encoder-decoder scenario.
- •Let  $\langle X,Y\rangle=\left\{x^{(n)},y^{(n)},\right\}_{n=1}^N$  be a training corpus. The log-likelihood of the training parallel data is maximized by the standard training objective function:
- $\bullet \hat{\theta} = \underset{\theta}{\operatorname{argmax}} \{ \sum_{n=1}^{N} logP(y^{(n)}|x^{(n)};\theta) \}$
- $\Phi \hat{y} = \underset{y}{\operatorname{argmax}} \{P(y|x; \hat{\theta})\} \quad \hat{y}_j = \underset{y}{\operatorname{argmax}} \{P(y|x, \hat{y}_{< j}; \hat{\theta})\}$



## Methodology — Transfer Learning

- •We take the  $L_3 \to L_2$  as parent and  $L_1 \to L_2$  as child language pair.  $L_3$  and  $L_1$  are source languages of parent and child ,respectively,  $L_2$  is the target language for both.
- $ullet heta_{L_3 o L_2} = \{< e_{L_3}, W, e_{L_2} > \}$  while  $e_{L_3}$  and  $e_{L_3}$  source and target embedding of parent model, W is parameters.
- $\bullet \hat{\theta}_{L_3 \to L_2} = \underset{\theta_{L_3 \to L_2}}{\operatorname{argmax}} \{ L \big( D_{L_3}, \theta_{L_3 \to L_2} \big) \} \text{ train the parent model } M_{L_3 \to L_2}$
- Then fine-tune the child model  $M_{L_1 \to L_2}$  with parent model  $M_{L_3 \to L_2}$ :
- $ullet heta_{L_1 o L_2} = f(\hat{\theta}_{L_3 o L_2})$ , while f is initialization function.

- we aim to deal with the problem of how to make full use of these corpora of highly related multiple languages, to increase the translation quality of the child model.
- Increase the similar even identical words between parent and child language by using unified transliteration method.



## Original Transfer Learning

The original TL transfers parameters of parent model into child model.

$$\begin{aligned} \theta_{L_3} &= \left\{ \left\langle e_{L_3}, W, e_{L_3} \right\rangle \right\} \\ \widehat{\theta}_{L_3 \to L_2} &= \underset{\theta_{L_3 \to L_2}}{\operatorname{argmax}} \left\{ L(D_{L_3 \to L_2}, \theta_{L_3 \to L_2}) \right\} \\ \theta_{L_1 \to L_2} &= f(\widehat{\theta}_{L_3 \to L_2}) \end{aligned}$$

[Barret Zoph et al., 2016]



## Modified Transfer Learning

 The modified TL transfers parameters of parent model into child model from one parent with different domains.

$$\theta_{\hat{L_3} \to L_2} = f(\hat{\theta}_{L_3 \to L_2})$$

$$\hat{\theta}_{\hat{L_3} \to L_2} = \underset{\hat{L_3} \to L_2}{\operatorname{argmax}} \left\{ L(D_{\hat{L_3} \to L_2}, \theta_{\hat{L_3} \to L_2}) \right\}$$

$$\theta_{L_1 \to L_2} = f(\hat{\theta}_{L_3 \to L_2})$$

[Passban et al., 2017]



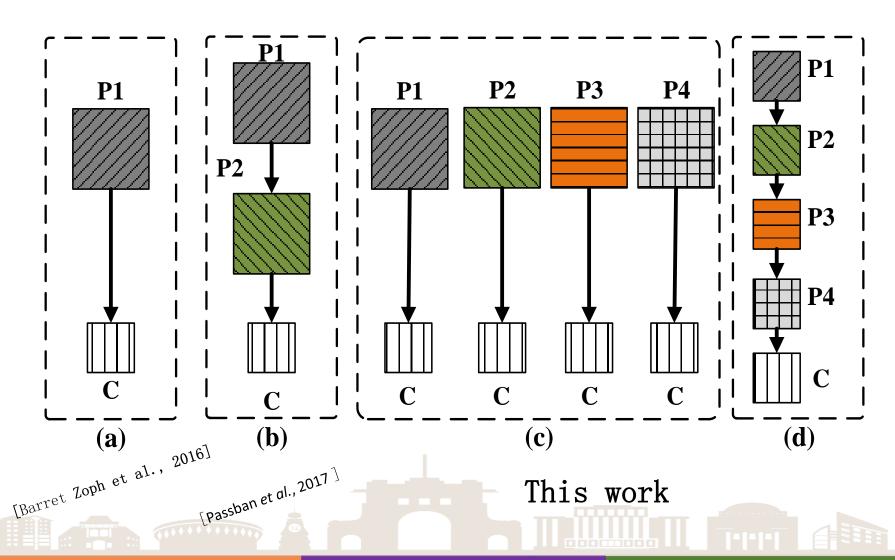
## Multi-round Transfer Learning

 The central idea of our proposed MRTL is to encourage the child model receive more information from different parent models.

$$\begin{split} \theta_{L_4 \to L_2} &= f(\hat{\theta}_{L_3 \to L_2}) \\ \hat{\theta}_{L_4 \to L_2} &= \underset{\theta_{L_4 \to L_2}}{\operatorname{argmax}} \{ L(D_{L_4 \to L_2}, \theta_{L_4 \to L_2}) \} \\ &\vdots &= \vdots \\ \theta_{L_{k+1} \to L_2} &= f(\hat{\theta}_{L_{k} \to L_2}) \\ \hat{\theta}_{L_{k+1} \to L_2} &= \underset{\theta_{L_{k+1} \to L_2}}{\operatorname{argmax}} \{ L(D_{L_{k+1} \to L_2}, \theta_{L_{k+1} \to L_2}) \} \\ \theta_{L_1 \to L_2} &= f(\hat{\theta}_{L_{k+1} \to L_2}) \end{split}$$



## Multi-round Transfer Learning





### Language features of all languages used in our experiments

Language		Family	Group	Branch	Order	Unit	Inflection
Arabic	(Ar)	Hamito-Semitic	Semitic	South	VSO	Word	High
Farsi	(Fa)	Indo Francisco	Indic	West	SOV	Word	Moderate
Urdu	(Ur)	Indo-European	Iranian	Iranian	SOV	Word	Moderate
Finnish	(Fi)	Uralic	Einna Haria	Finnish	SVO	Word	Moderate
Hungarian	(Hu)	Uranc	Finno-Ugric	Ugric	SVO	Word	Moderate
Turkish	(Tr)	A14-:-	Transfer -	Oghuz	SOV	Word	Moderate
Uyghur	(Uy)	Altaic	Turkic	Qarluq	SOV	Word	Moderate
Chinese	(Ch)	Sino-Tibetan	Chinese	Sinitic	SVO	Character	Light











#### The shared words between each languages

	Ar	Fa	Ur	Fi	Hu	Tr	Uy
Ar		11.49%	8.31%	0.52%	0.45%	0.73%	0.77%
Fa	2.34%		8.29%	0.27%	0.30%	0.32%	0.57%
Ur	0.15%	0.75%		0.01%	0.01%	0.03%	0.11%
Fi	0.36%	0.94%	0.53%		2.74%	3.80%	0.50%
Hu	0.45%	1.46%	0.70%	3.85%		5.07%	0.75%
Tr	0.57%	1.22%	1.14%	4.22%	4.01%		2.47%
Uy	0.06%	0.21%	0.47%	0.05%	0.06%	0.24%	

The Ar, Fa, Ur, and Uy are converted with proposed unified transliteration method. Besides, shared word rate calculated as the division of shared word numbers to word type counts of the language in each column.



#### The shared words between each languages

Language	Original	Latin	Chinese	English	Unified
Ar	مدرسة	maktab			
Uy	مەكتەپ	mektep	学校	School	mektep
Tr	okul	mektep			
Fa	باغ وحش	bağça			
Uy	بأغچا	bağça	果园	Orchard	bağça
Tr	bahçesi	ba <b>h</b> çe			
Ar	غرفة القراءة	qiraaaatxana			
Fa	أتاق مطالعه	qiraaaat xana	阅览室	Reading room	qiraetxana
Tr	Okuma odası	kıraathane			
Uy	قدائه تخانا	qiraetxana			

The second column "Original" represents prototype scripts of corresponding languages. Besides, the last column "Unified" stands for the converted format with unified transliteration method.



```
Algorithm 1: Unified Transliteration Method
 Input: the source side monolingual sentences D_{sm} = \{\mathbf{x}_{sm}^m\}_{m=1}^M of parent (child).
 Output: the transliterated word sequence in current sentences D_{sm}.
 /* Initialize the variables.
                                                                                              */
 Current_l \leftarrow the word sequence in current line among D_{sm};
 Output_c \leftarrow the transliterated word sequence in current line among D'_{sm};
 Read the source side monolingual sentences D_{sm} of parent (child);
 for each line in D_{sm} do
    /* the current line should be decoded as ''utf-8''.
                                                                                              */
    Current_1 \leftarrow each line.decode('utf-8');
    /* split the current line with white space and save them as a list.
                                                                                              */
    Current_l \leftarrow Current_l.strip().split();
    for each word in Current<sub>l</sub> do
        for each char in each word do
            /* check each char from the manually prepared mapping table.
                                                                                              */
            each char_{latin} \leftarrow each char;
         end
        /* check the each word if contains same repeated char continually.
        if Is Contain repeated char in each charlatin then
            compare the length of Current_l and the length of Latinized Current_l;
            remove repeated each charlatin from each word;
        end
        convert them into unified form sequentially;
        each word ← sums of unified chars after removing repeated chars;
        final word \leftarrow each word;
                                                            /* reserve the final word. */
     end
     Output_c \leftarrow the joint sequence of final words with white-space
 end
```

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Mieradilijiang Maimaiti et al.

**THU-PhD Forum 2019** 



- System : THUMT
- Parameters:
  - Dropout 0.1
  - ●Word Embedding 620
  - Hidden State 1000
  - Vocabulary : source 3w, target 29k
- Other parameter we use the default parameters of THUMT
- Preprocess
  - Clear data use <u>NiuTrans</u>, Chinese segmenter use <u>THULAC</u>
  - Some processing tools designed by us



• GPU: 4

•GPU type: NVIDIA TITAN X (Pascal)

Training time: less than 3-4 days (include fine-tuning)

•Corpus:

● Parent: Open Subtitle 2016 and Tanzil corpora

● Child: Chinese-LDC (CLDC) corpus

●UNK replace : NO

BPE : Yes



#### The Effect of unified transliteration method for MRTL.

Method	Round	Parent	Child	BLEU
Transformer	R=0	N/A		28.28
MRTL (Original)	R=1		Uy → Ch	10.29 28.83 30.64 <sup>++</sup>
MRTL (Unified)	11	$Ur \rightarrow Ch$ $Fa \rightarrow Ch$ $Ar \rightarrow Ch$	_	10.93* 29.96 <sup>++**</sup> 31.64 <sup>++*</sup>



The Effect of corpus size to child model in single fine-tuning.

Method	Parent	Child	BLEU
Transformer	N/A		28.28
	$Tr \rightarrow Ch (0.5M)$	Uy → Ch	29.89++
MRTL (R=1)	$Tr \rightarrow Ch (2.4M)$	Oy → CII	30.88 <sup>++</sup>
	$Tr \rightarrow Ch (4.4M)$		$32.74^{++1}$













### The effect of parent language pairs to child language pair

Method	Parent	Child	BLEU
Transformer	N/A		28.28
	$Ur \rightarrow Ch$	-	10.93
	$Fa \rightarrow Ch$		29.96++
	$Fi \rightarrow Ch$	$Uy \rightarrow Ch$	30.85++
MRTL (R=1)	$Tr \rightarrow Ch (2.4M)$		30.88++*
	$Ar \rightarrow Ch$		31.64++*
	$Hu \rightarrow Ch$		32.41++0
	$Tr \rightarrow Ch (4.4M)$		$32.74^{++\dagger}$













### Parent Language Selection

Different language family

MRTL	Parent	Family	Domain	Size	Child	BLEU
R=0	N/A	Altaic	CLDC	46.3K		28.28
R=1	$Hu \rightarrow Ch$ $Tr \rightarrow Ch$		Open Subtile	4.1M	Uy → Ch	32.41 <sup>++</sup> 32.58 <sup>++</sup>

Different domain

MRTL	Parent	Family	Domain	Size	Child	BLEU
R=0	N/A	Altaic	CLDC	46.3K		28.28
R=1	Ur → Ch	Indo-European	Tanzil	78.0K	$Uy \rightarrow Ch$	10.93
	$Fa \rightarrow Ch$	1	Open Subtitle			24.27

Different corpus size

MRTL	Parent	Family	Domain	Size	Child	BLEU
R=0	N/A	Altaic	CLDC			28.28
R=1	$Fi \rightarrow Ch$ $Hu \rightarrow Ch$	Uralic	Open Subtile	2.8M 4.1M	Uy → Ch	30.85 <sup>++</sup> 32.41 <sup>++</sup>



### The Effect of MRTL.

Method	Round	Parent	Child	BLEU
Transformer Many-to-One	R=0	N/A		28.28 32.43 <sup>++</sup>
Mrtl	R=1 R=2 R=3 R=4	$Tr (4.4M) \rightarrow Ch$ $Tr (4.4M), (2.4M) \rightarrow Ch$ $Tr (4.4M), (2.4M), Fi \rightarrow Ch$ $Tr (4.4M), (2.4M), Fi, Hu \rightarrow Ch$ $Ar (Unified), Tr (4.4M), Hu, Fi \rightarrow Ch$ $Tr (4.4M), Ar (Unified), Hu, Fi \rightarrow Ch$	Uy → Ch	32.03 <sup>++</sup> 32.54 <sup>++</sup> 33.54 <sup>++‡*</sup> 33.66 <sup>++**</sup> 33.73 <sup>++**</sup> 33.91 <sup>++**</sup>















### The Translation example of Uy→Ch between various methods

Method	Translation result
Source	muvapiq sürük İçide yolğa qoyu@@ lmisa dölet heqsiz yolğa qoysa bolidu .
Reference	zai <b>heli qixian</b> nei meiyou shishi de , guo jia keyi <b>wuchang</b> shishi . 在 合理 期限 内 没有 实施 的 , 国 家 可以 无偿 实施 。
Transformer	shidang xianqi shishi . 适当 限期 实施 。
Many-to-One	zai shidang qixian nei <b>bu neng</b> shixing guo jia mianfei shishi . 在 适当 期限 内 不 能 实行 国 家 免费 实施 。
R = 1	zai shidang qixian nei <b>bu neng</b> you <mark>mianfei</mark> shishi <b>guo jia</b> . 在适当期限内不能有免费实施国家。
R = 2	zai <b>heli</b> qijian nei shishi <b>xi ze</b> , guo jia keyi textbfmianfei shishi . 在 合理 期间 内 实施 细 则 , 国 家 可以 免费 实施 。
R = 3	zai <b>heli qijian</b> nei <mark>wei shixing guo jia ke mianfei shishi</mark> . 在 合理 期间 内 未 实行 国 家 可 免费 实施 。
R = 4	dui heli qijian nei wei shixing de, guo jia keyi wuchang shishi. 对合理期间内未实行的,国家可以无偿实施。







# **Outline**

- ✓ Machine Translation
- ✓ Demands for Machine/Human Translation
- ✓ Related Work and Current State for LRLs NMT
- ✓ Motivation
- ✓ Methodology
- ✓ Experiments
- Conclusions



- We address the drawbacks of TL, which exploits only one parent to optimize the child model at a time.
- We mitigate the gap between parent and child language pairs at the character level.
- We achieve transparency in network architectures, as well as in our method for neural network architecture.
- We observe meaningful discovery by sharing both source side and target side embeddings of parent models.

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谢谢!

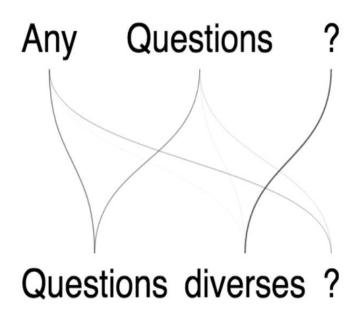
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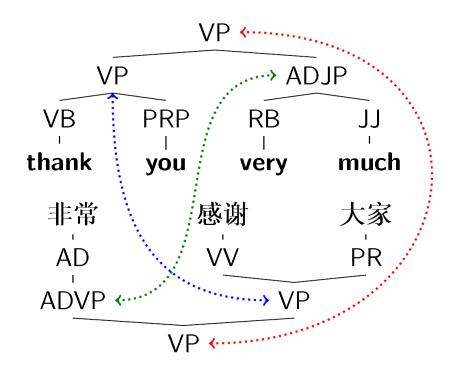
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رەخمەت!

**Kiitos** 

Teşekkür





This inspiration comes from Dzmitry Bahdanau @ ICLR2014.